

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT : Joseph G. GATTO                      CONFIRMATION No.: 9235  
SERIAL NUMBER : 09/775,599                      EXAMINER: Narayanswamy Subramanian  
FILING DATE : February 5, 2001                      ART UNIT: 3695  
FOR : SECURITY ANALYST ESTIMATES PERFORMANCE VIEWING SYSTEM AND METHOD

**REQUEST FOR REFUND**  
**(IMPROPER CHARGE TO DEPOSIT ACCOUNT)**

Mail Stop 16  
Director of the U.S. Patent and Trademark Office  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**I. REFUND REQUEST**

This is a request for a refund, with respect to the charge to Deposit Account No. 033975, shown on the attached page 13 of the Deposit Account Statement dated August 2008, for the above-identified application.

**II. FEE CHARGED FOR WHICH REFUND REQUESTED**

**AMOUNT OF REFUND REQUESTED**

Information Disclosure Statement	\$180.00
<b>TOTAL REFUND REQUESTED</b>	<b>\$180.00</b>

**III. EXPLANATION OF WHY CONTESTED CHARGE IS IN ERROR**

The Supplemental Information Disclosure Statement filed July 24, 2008, cited a reference which we had known about for less than three months. The application had not yet received a Final Office Action and we certified that the reference was known no more than three months prior to the filing of the Supplemental Information Disclosure Statement. Accordingly, the charge was in error because no fee was due.

**IV. MANNER OF REFUND**

Please make refund by crediting Account No. 033975 under Docket No. 055444-0311371.

Respectfully submitted,

PILLSBURY WINTHROP SHAW PITTMAN LLP

Customer No.  
00909

By



Syed Jafar Ali

Registration No.: 58,780

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McLean, Virginia 22102  
Telephone: (703) 770-7900  
Telefax: (703) 770-7901

Dated: December 23, 2008



**United States  
Patent and  
Trademark Office**



**Deposit Account Statement**

Requested Statement Month: August 2008  
 Deposit Account Number: 033975  
 Name: PILLSBURY WINTHROP SHAW PITTMAN LLP.  
 Attention: ROBERTA MILES  
 Street Address 1: 1650 TYSONS BOULEVARD, 14TH FLOOR  
 Street Address 2:  
 City: MCLEAN  
 State: VA  
 Zip: 22102-4859  
 Country: UNITED STATES

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
08/01	169	12183436	008312-0372958	1011	\$310.00	\$441,391.6
08/01	170	12183436	008312-0372958	1111	\$510.00	\$440,881.6
08/01	171	12183436	008312-0372958	1311	\$210.00	\$440,671.6
08/01	514	12183467	008312-0372957	1011	\$310.00	\$440,361.6
08/01	516	12183467	008312-0372957	1311	\$210.00	\$440,151.6
08/01	515	12183467	008312-0372957	1111	\$510.00	\$439,641.6
08/01	560	12183467	008312-0372957	8021	\$40.00	\$439,601.6
08/01	1528	E-REPLENISHMENT		9203	-\$14,944.00	\$454,545.6
08/01	3845	77095918	518594/359766	7003	\$100.00	\$454,445.6
08/01	4118	A0013356	047371/0372971/RBB	7901	\$100.00	\$454,345.6
08/01	4119	A0013356	047371/0372971/RBB	7951	\$918.10	\$453,427.5
08/01	4168	77536294	061148/0373006/RLK/AG	7001	\$325.00	\$453,102.5
08/01	4570	A0013359	047371/0372968/RBB	7901	\$100.00	\$453,002.5
08/01	4571	A0013359	047371/0372968/RBB	7951	\$918.10	\$452,084.4
08/01	7391	10341588	042846-0313268	1401	\$510.00	\$451,574.4
08/01	7392	10341588	042846-0313268	1402	\$510.00	\$451,064.4
08/01	7708	11670705	040000-0359557	1251	\$120.00	\$450,944.4
08/01	8019	E-REPLENISHMENT		9203	-\$7,650.00	\$458,594.4
08/01	8023	E-REPLENISHMENT		9203	-\$15,871.00	\$474,465.4
08/01	8025	E-REPLENISHMENT		9203	-\$6,500.00	\$480,965.4
08/01	8027	E-REPLENISHMENT		9203	-\$4,200.00	\$485,165.4
08/01	8120	12062500	013054-0369533	4011	\$75.00	\$485,090.4
08/01	8121	12062500	013054-0369533	2111	\$255.00	\$484,835.4
08/01	8122	12062500	013054-0369533	2311	\$105.00	\$484,730.4
08/01	8123	12062500	013054-0369533	2051	\$65.00	\$484,665.4
08/01	159	11711873	081468-0359604	1814	\$130.00	\$484,535.4
08/01	175	10890400	081468-0310460	1806	\$180.00	\$484,355.4

08/13 9044	78680222	7758/316548	7004	\$150.00	\$463,015.4
08/13 9632	78689520	41905/316833	7004	\$150.00	\$462,865.4
08/13 9672	78689512	41905/316835	7004	\$150.00	\$462,715.4
08/13 9777	78854189	31012/350633	7004	\$150.00	\$462,565.4
08/13 9830	12171953	035232-0370750	1051	\$130.00	\$462,435.4
08/13 10548	77142002	206565-31	8521	\$40.00	\$462,395.4
08/13 10975	12146331		8021	\$40.00	\$462,355.4
08/13 46	61078886	011765-0372444	2052	\$25.00	\$462,330.4
08/13 2	11194479	081427-0315633	1504	-\$300.00	\$462,630.4
08/13 3	11194479	081427-0315633	1505	\$300.00	\$462,330.4
08/13 13115	12173684	008312-0372521	8021	\$40.00	\$462,290.4
08/13 13488	12190798	008312-0373131	1011	\$310.00	\$461,980.4
08/13 13490	12190798	008312-0373131	1311	\$210.00	\$461,770.4
08/13 13491	12190798	008312-0373131	1081	\$260.00	\$461,510.4
08/13 13489	12190798	008312-0373131	1111	\$510.00	\$461,000.4
08/13 14	12150456	040088-0369639	1201	-\$840.00	\$461,840.4
08/14 363	11522476	067578-0357681	2253	\$525.00	\$461,315.4
08/14 364	11522476	067578-0357681	1806	\$180.00	\$461,135.4
08/14 1	09775599	055444-0311371	1806	\$180.00	\$460,955.4
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08/14 751	12190874	008312-0373170	1311	\$210.00	\$459,925.4
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08/14 840	61021883	066309-0367635 (PNI-001-P	8021	\$40.00	\$459,845.4
08/14 917	11207719	081427-0315982	1251	\$120.00	\$459,725.4
08/14 1286	61021884	066309-0367635 (PNI-002-P	8021	\$40.00	\$459,685.4
08/14 1391	0189940036	038559-0368759	8014	\$25.00	\$459,660.4
08/14 1437	77546158	540073/373311	7007	\$275.00	\$459,385.4
08/14 1460	1875307	518601.0358840	8503	\$15.00	\$459,370.4
08/14 1479	61021885	066309-0367637 (PNI-003P)	8021	\$40.00	\$459,330.4
08/14 2739	11122068	017249-0312669	2202	\$350.00	\$458,980.4
08/14 2740	11122068	017249-0312669	2201	\$105.00	\$458,875.4
08/14 2741	11122068	017249-0312669	2253	\$525.00	\$458,350.4
08/14 3433	61021887	066309-0367638 (PNI-004P)	8021	\$40.00	\$458,310.4
08/14 8	11656560	081468-0359520	1806	\$180.00	\$458,130.4
08/14 7464	12087436	021286-0358209	1464	\$130.00	\$458,000.4
08/14 8020	0189940036	038559-0368761	8014	\$25.00	\$457,975.4
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08/14 122	11896981	081468-0364867	1501	\$1,440.00	\$456,355.4
08/14 123	11896981	081468-0364867	1504	\$300.00	\$456,055.4
08/14 124	11896981	081468-0364867	8001	\$9.00	\$456,046.4
08/14 64	12219606	081468-0372815	1201	\$210.00	\$455,836.4
08/14 65	12219606	081468-0372815	1202	\$800.00	\$455,036.4
08/14 2	10766472	008312-0307912	1201	\$210.00	\$454,826.4
08/14 2	90008413	17509-0160	1402	\$510.00	\$454,316.4
08/14 11203	2141227	25421/351737	7205	\$100.00	\$454,216.4
08/14 11204	2141227	25421/351737	7201	\$400.00	\$453,816.4
08/14 11205	2141227	25421/351737	7206	\$100.00	\$453,716.4
08/14 11206	2141227	25421/351737	7203	\$100.00	\$453,616.4